The Devil is in the Details: Data Discrepancies in Stewardship

Elizabeth Dodds Ashley, PharmD, MHS, BCIDP

Professor in Medicine

Operations Director, Duke Antimicrobial Stewardship Outreach Network





Duke Center for Antimicrobial Stewardship and Infection Prevention



dason.medicine.duke.edu

Disclosures

I have no relevant financial disclosures



Objectives

- Identify data sources to support stewardship activities
- Outline how to identify common errors in EHR data commonly used in stewardship
- List validation steps to assess an antibiogram generated from outside software platforms



Duke Antimicrobial Stewardship Outreach Network

38 communityhospitals5 states



Augusta Health Carteret Health Care Chatham Hospital UNC Health Care **Chesapeake Regional Medical Center Community Memorial Hospital Conway Medical Center** Granville Health System Atrium Health Wake Forest Baptist High Point Medical Center Indian River Medical Center Iredell Health System Johnston UNC Health Care Maria Parham Medical Center Nash UNC Health Care Northern Regional Hospital **Piedmont Athens Regional** Piedmont Atlanta Hospital Piedmont Cartersville Medical Center Piedmont Columbus and Midtown Piedmont Eastside Medical Center **Piedmont Fayette Hospital Piedmont Henry Hospital** Piedmont Macon North Hospital **Piedmont Macon Medical Center Piedmont Mountainside Hospital Piedmont Newnan Hospital Piedmont Newton Hospital** Piedmont Rockdale **Piedmont Walton UNC Health Southeastern** UNC Lenoir Healthcare **UNC Rex Healthcare UNC Rockingham Healthcare** Sovah Health Danville Sovah Health Martinsville **Tidelands Georgetown Memorial Hospital** Tidelands Waccamaw Community Hospital Wayne UNC Health Care



Antibiograms- Background

We check the box- every year on our NHSN Annual Survey

Patient Safety Component—Annual Hospital	Survoy	
e 17 of 19	Survey	
ibiotic Stewardship Practices (continued)		
. Our facility distributes an antibiogram to prescribers, at least annually		
	□ Yes	□ No

That means I am compliant right?

https://www.cdc.gov/nhsn/forms/57.103 pshospsurv blank.pdf



How Often Are Antibiograms Correct?



Indicates non-duplicate isolates only

38%

Includes at least a year of data







At least 30 isolates



_		
_	_	

Reports only CLSI approved combinations



Full Compliance: 9%

Moehring RW et al J Clin Microbiol 2015;53:2977-82.



Example 1

10 or more Isolates	Any Se	ource	e - Ai	ny Lo	cati	on													
☐ Indicates less than 30 isolates tested Organisms	Total Isolates	Ampicillin	Ampicillin/Sulbactam	Cefazolin	Cefepime	Ceftazidime	Ceftriaxone	Ciprofloxacin	Clindamycin	Gentamicin	Imipenem	Levofloxacin	Oxacillin	Penicillin G	Piperacillin/Tazobactam	Sulfamethoxazole/Trimethoprim	Tetracycline	Tobramycin	Vancomycin
Gram Negative																			
Acinetobacter baumannii	10		100		80	90		80		90		80				80		0	
Citrobacter freundii	15	27	73	20			73	100		100	100	100			93	93			
Enterobacter aerogenes	22	18	59	5			86	100		100	100	100			86	95			
Enterobacter cloacae	35	6	20	0			<mark>6</mark> 3	83		97	100	83			91	<mark>8</mark> 9		0	
Escherichia coli	575	54	58	94			99	76		92	100	76			99	74		60	
Klebsiella oxytoca	11		82	73			100	91		100	100	86			100	91			
Klebsiella pneumoniae	172	0	87	96			98	95		97	99	97			98	88		0	
Morganella morganii	19	11	16	5			84	63		95	74	70			100	74		0	
Proteus mirabilis	106	97	98	98			100	80		92	75	84			100	88		38	
Pseudomonas aeruginosa	71				89	92		79		89	<mark>9</mark> 2	76			100			100	

Blank cells indicate drug not tested or drug not indicated.



Reporting When Testing Not Done/Indicated

Bacterial Isolates (January through December 2021) Numbers reflect percent susceptible based on achievable con- centrations. Data obtained from bioMérieux Vitek 2 R indicates intrinsic resistance or 0% susceptibility Blank indicates no data available Green font indicates >5% increase year-over-year Red font indicates >5% decrease year-over-year 1. Amoxicillin tested on <i>Streptococcus pneumoniae</i> 2. Ceftazidime susceptibility reported for Aztreonam (<i>Pseudomonas aeruginosa</i>) 3. Interpret results with less than 30 isolates with caution Version Date: March 31, 2022	Number of Isolates	Amikacin	Ampicillin (Amoxicillin tested on Streptococcus Pneumoniae)	Ampicillin/Sulbactam	Aztreonam ²	Cefazolin	Cefepime	Cefotaxime
Gram Negative Bacilli								
Acinetobacter spp.	48	-	R	91	R	R	74	Ν
Citrobacter freundii	42	Ν	R	R	Ν	R	98	Ν
Citrobacter koseri	50	Ν	R	Ν	Ν	96	100	Ν
Enterobacter aerogenes	67	Ν	R	R	Ν	R	100	Ν
Enterobacter cloacae	137	Ν	R	R	Ν	R	96	Ν
Escherichia coli	2592	Ν	53	64	Ν	88	92	Ν
Klebsiella oxytoca	57	Ν	R	65	Ν	67	88	Ν
Klebsiella pneumoniae	720	Ν	R	80	Ν	91	93	Ν
Morganella moganii	55	Ν	R	6	Ν	R	98	Ν
Proteus mirabilis	538	Ν	72	90	Ν	88	93	Ν
Providencia stuartii	46	Ν	R	41	Ν	R	100	Ν
Pseudomonas aeruginosa	356	97	R	R	89	R	88	R
Serratia marcescens	98	Ν	R	R	Ν	R	99	Ν
Stenotrophomonas maltophilia	35	R	R	R	R	R	Ν	R

- Using standard abbreviations gives better data to prescribers than blank cells
 - N for non-tested and
 - R for intrinsic resistance

Resource: CLSI M-100 Document

32nd Edition M100 Performance Standards for Antimicrobial Susceptibility Testing This document includes updated tables for the Clinical and Laboratory Standards Institute antimicrobial susceptibility testing tandards M02, M07, and M11. ent for alobal application dason

It is FREE!

https://clsi.org/standards/products/freeresources/access-our-free-resources/



9

Resource: Testing Recommendations

Table 1A: Agents to Test

Appendix B: Intrinsic Resistance

Table 1A. Suggested Groupings of Antimicrobial Agents Approved by the US Food and Drug Administration for Clinical Use That Should Be Considered for Testing and Reporting on Nonfastidious Organisms by Microbiology Laboratories in the United States

Enterobacterales	Pseudomonas aeruginosa	Staphylococcus spp.	Enterococcus spp.ª
Ampicillin ^b	Ceftazidime	Azithromycin ^c or	Ampicillin ^d
Cefazolin ^f	Gentamicin Tobramycin	clarithromycin ^c or erythromycin ^c	Penicillin ^e
Gentamicin ^b	Piperacillin-tazobactam	Clindamycin ^c	
Tobramycin ^b		Oxacillin ^{g,h,i,j,k} Cefoxitin ^{g,h,j} (surrogate test for oxacillin)	
		Penicillin ^g	
		Trimethoprim-sulfamethoxazole	-

Antimicrobial Agent →	Ampicillin	Amoxicillin- clavulanate	Ampicillin- sulbactam	Ticarcillin	sporins I: Cephalothin	Cephamycins: oxitin, Cefotetan	phalosporin II: Cefuroxime	lmipenem	Tetracyclines	Tigecycline	Nitrofurantoin	ıyxin B istin	Aminoglycosides
Organism↓	duv	Amox clavu	Ampi sulbā	Tica	Cephalosporins Cefazolin, Cephalo	Cephai Cefoxitin,	Cephalosporin Cefuroxime	Imip	Tetrac	Tigec	Nitrofu	Polymyxin Colistin	Aminogl
Citrobacter freundii	R	R	R		R	R	R						
Citrobacter koseri, Citrobacter amalonaticus group ^a	R			R									
<i>Enterobacter cloacae</i> complex ^b	R	R	R		R	R							
Escherichia coli	There is no intrinsic resistance to B-lactams in this organism.												

Clinical and Laboratory Standards Institute (CLSI). *Performance Standards for Antimicrobial Susceptibility Testing*. 32nd ed. CLSI supplement M100 (2022)





10 or more Isolates	Any Se	ource	e - AI	ny Lo	ocati	on													
Indicates less than 30 isolates tested Organisms	Total Isolates	Ampicillin	Ampicillin/Sulbactam	Cefazolin	Cefepime	Ceftazidime	Ceftriaxone	Ciprofloxacin	Clindamycin	Gentamicin	Imipenem	Levofloxacin	Oxacillin	Penicillin G	Piperacillin/Tazobactam	Sulfamethoxazole/Trimethoprim	Tetracycline	Tobramycin	Vancomycin
Gram Negative																			
Pseudomonas aeruginosa	71				89	<mark>92</mark>		79		<mark>8</mark> 9	92	76			100			43	

Blank cells indicate drug not tested or drug not indicated.



Know Your Data Source: Tiered Reporting



Example 2: Cascaded Susceptibility Data

10 or more Isolates	Any Se	ource	e - Ai	ny Lo	ocati	on													
Indicates less than 30 isolates tested	Total Isolates	Ampicillin	Ampicillin/Sulbactam	Cefazolin	Cefepime	Ceftazidime	Ceftriaxone	Ciprofloxacin	Clindamycin	Gentamicin	Imipenem	Levofloxacin	Oxacillin	Penicillin G	Piperacillin/Tazobactam	Sulfamethoxazole/Trimethoprim	Tetracycline	Tobramycin	Vancomycin
Gram Negative																			
Pseudomonas aeruginosa	71				89	<mark>92</mark>		79		<mark>8</mark> 9	92	76			100			43	

Blank cells indicate drug not tested or drug not indicated.

Of the 71 isolates, all were tested against gentamicin (8 resistant), those 8 were tested against tobramycin, 3 of those were resistant



How to figure this out

- You need access to numerator and denominator data
 - Some automated programs show this by simply hovering over the cell
 - For others, you need to go to the raw output

	Enterococcus	Enterococcus	Escheric	hia coli
	faecium (54)	gallinarum (8)	(253	* * > > * 0 * > * * * * * * * * * * * *
	S Total	S Total	S	Total
Amikacin			100%	(9)
Gentamicin			92%	(2530)



Example 3

P a e r u g i n o s a # Isolates 777 Amikacin 88%(r u		Piperacillin/Tazobactam		30 or more Isolates Indicates less than 30 isolates tested Increase of 5% or more Decrease of 5% or more Not enough data	Any So	Piperacillin/Tazobactam
# Isolates 77							illin
# Isolates 77	n	Gram Negative Bacilli	_			Total	NT/
# Isolates 77		Acinetobacter baumannii	69				az
	°	Citrobacter freundii	90			Isolate	B
Amikacin 00%(77	Citrobacter koseri	100				ac
Ampicillin	5%(77)			_		te	ťa
Amp/Sublac		Enterobacter aerogenes	77		Organisms	Š	В
Cefazolin Cefepime 71%(1%(77)	Enterobacter cloacae	79			+	_
Cefoxitin		Escherichia coli	97	-			
	5%(77)	Klebsiella oxytoca	96	-	Gram Negative		
Ceftriaxone Ciprofloxicin 73%(3%(77)	Klebsiella pneumoniae	92		Escherichia coli	128	96
ESBL	570(11)		92		Escheriena con	120	
	7%(77)	Morganella morganii			Klebsiella pneumoniae	58	97
	9%(77)	Proteus mirabilis	99				<u> </u>
Meropenem 90%(Nitrofurantoin	0%(77)	Providencia stuartii	98	1	Proteus mirabilis	32	100
	0%(60)	Pseudomonas aeruginosa	100	1			Ē
Tobramycin 86%(SXT	3%(77)	Serratia marcescens	100	-	Pseudomonas aeruginosa	65	100



Example 3: Know your reporting limitations

LIMITATIONS

Perform an alternative method of testing prior to reporting of results for the following antibiotic/organism combination(s):

- Amikacin: Acinetobacter baumannii
- Ampicillin/Sulbactam: Citrobacter spp., Enterobacter spp., Pantoea spp., Serratia spp., Cronobacter sakazakii
- Aztreonam: Pseudomonas spp.
- Imipenem: Serratia marcescens
- Piperacillin/Tazobactam: Serratia marcescens

Perform an alternative method of testing prior to reporting results when a resistant result is obtained with the following antibiotic/organism combination(s):

- Imipenem: Aeromonas spp.
- Meropenem: Aeromonas spp.

bioMérieux SA English - 2

VITEK[®] 2 AST-N308

9310748-P1EN1 - 2015/08

Piperacillin/Tazobactam: Pseudomonas aeruginosa

https://www.ilexmedical.com/files/Vitk2%20MSDS/Package_Insert_-_AST-N308_-_B_-_416913.pdf



Example 3: Local reporting rules

(95) - TZP PL PSEUDO R (Enabled)

Type: Custom

Conditions

lf	Organism is Pseudomonas aeruginosa
And	Antibiotic is Piperacillin/Tazobactam, Interpretation R, Antibiotic Type: Tested

Actions

Then Suppress from reporting Piperacillin/Tazobactam



Data Discrepancies: Not Just for Antibiograms





Duke Center for Antimicrobial Stewardship and Infection Prevention



dason.medicine.duke.edu

Ongoing Data Validation: Missing Data





When the data are just too good to be true...



~3000 administrations of Azithromycin reported in the form "AZITHROMYCIN 500 MG/250 ML MINIBAG PLUS" were incorrectly mapped to Aztreonam. Changing these will lower the total number of Aztreonam administrations in the database from ~7400 to ~4400, and increase the number of Azithromycin administrations from ~3600 to ~6600.



Proposed Ongoing Data Validation

Simple:

- Alert if any antibiotic that previously had use reported is now 0
- Confirm oral vancomycin in each data dump
- Alert if any antibiotic has more than a 20% increase or decrease in use over previous month
- Antibiotics administered each day and each hour of each day for all locations
- Use on any unit with previous antibiotic use drops to 0
- Other places to check:
 - Has unit mapping changed
 - Has construction changed where patients are (some automated dispensing cabinets do not change names when moved!)
 - Of course- have any shortages resulted in drug changes?



CDC Tools for Data Validation

- Data Validation
- AU Option Validation
- AU Option Implementation Data Validation February 2021 [PDF 1 MB] (print version)
 - <u>Customizable Form</u> I [DOC 250 KB] (print version)
- AU Option Annual Data Validation February 2021 🖪 [PDF 1 MB]

AU Option Data Quality Line List – August 2020 📙 [PDF – 550 KB]

AR Option Validation

AR Option Data Validation – April 2021 🔼 [PDF – 400 KB]

<u>Customizable Form</u> ■ [DOC – 200 KB]

Use the Data Quality Line List

The AU Option Data Quality Line List provides facilities with a quick v

- 1. Zero or missing antimicrobial days
- 2. Antimicrobial days reported with patients were not present
- 3. Antimicrobial days ≥ Days present
- 4. Sum of routes < Total Antimicrobial days

 $https://www.cdc.gov/nhsn/psc/aur/index.html?CDC_AA_refVal=https%3A\%2F\%2Fwww.cdc.gov\%2Fnhsn\%2Facute-care-hospital\%2Facut%2Findex.html%2Findex.html%2Findex.$



22

- Which of the following data sources is the best for generated automated microbiology reports to support stewardship work?
- a. Laboratory information system (LIS)
- b. Electronic health record
- c. Automated testing instrument
- d. No single best source



- Which of the following data sources is the best for generated automated microbiology reports to support stewardship work?
- a. Laboratory information system (LIS)
- b. Electronic health record
- c. Automated testing instrument

d. No single best source

Answer D is correct because each of the Listed data sources (A, B and C) has unique challenges related to data output, it is important for stewards to understand local implementation strategies to allow best use of available data sources.



- Which of the following should raise concerns during antibiogram validation?
- a. Fewer than 30 isolates reported
- b. Fluoroquinolone susceptibility that changes by more than 10%
- c. Great differences in susceptibility for drugs in same class
- d. MRSA rates < 40%



- Which of the following should raise concerns during antibiogram validation?
- a. Fewer than 30 isolates reported
- b. Fluoroquinolone susceptibility that changes by more than 10%
- c. Great differences in susceptibility for drugs in same class
- d. MRSA rates < 40%



As discussed in our example, C is the correct answer- this is often seen with big changes in resistance rates between agents in the fluoroquinolone or aminoglycoside classes. It can also be seen with some cephalosporins. A is incorrect and although it does not follow CLSI standards for antibiogram reporting is not a data integrity issue in most cases. Especially in coming years a 10-15% change in fluoroquinolone susceptibilities are expected due to recently approved breakpoint modifications. Lastly, MRSA rates <40% are common in hospitals and likely does not represent a data validation concern.



- As a stewardship pharmacist, you routinely monitor you antibiotic use data and notice trends for the last quarter had a 25% increase in reported use. What should your first step be to assess this?
- a. Check denominator data
- b. See if the unit has closed due to construction
- c. Schedule a meeting with the prescribers
- d. Check if new antibiotic formulations have been added



- As a stewardship pharmacist, you routinely monitor you antibiotic use data and notice trends for the last quarter had a 25% increase in reported use. What should your first step be to assess this?
- a. Check denominator data
- b. See if the unit has closed due to construction
- c. Schedule a meeting with the prescribers
- d. Check if new antibiotic formulations have been added



Whenever you observe a great increase in rate of antibiotic use, it is always wise to start by checking if denominator data are missing as this can cause falsely elevated rates of use. Two of the other choices, B and D can be causes of data discrepancies but these would typically result in lower antibiotic use (unless a unit close so all denominator data are absent). It is never wise to schedule a meeting with prescribers (option C) before understanding the cause of the change in use rates.





